

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert B. PHELPS
Title: METHOD OF ENHANCING
VALUE OF PENSION PLAN
ASSETS
Appl. No.: 10/636,125
Appl. Filing Date: 08/07/2003
Examiner: Vanel Frenel
Art Unit: 3687
Confirmation Number: 1568
Number:

REQUEST FOR CONTINUED EXAMINATION (RCE)
TRANSMITTAL

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. This RCE and the enclosed items listed below are being filed prior to the earliest of: (1) payment of the issue fee (unless a petition under 37 C.F.R. § 1.313 is granted); (2) abandonment of the application; or (3) the filing of a notice of appeal to the U.S. Court of Appeals for the Federal Circuit under 35 U.S.C. §141, or the commencement of a civil action under 35 U.S.C. §145 or §146 (unless the appeal or civil action is terminated).

1. **Submission required under 37 C.F.R. §1.114:** (check items that apply)

a. Previously submitted:

[] Please enter and consider the amendment and/or reply previously filed on ____.

[] Please consider the Affidavit(s)/Declaration(s) previously filed on __ but not considered.

[] Please consider the arguments in the Appeal Brief or Reply previously filed on __.

[] Other __.

b. Enclosed are:

[X] Amendment/Reply.

[] Affidavit(s)/Declaration(s).

[] Information Disclosure Statement.

[] Form PTO/SB/08 with copies of __ listed reference(s).

[X] Other: Information Disclosure Statement, SB08.

Miscellaneous:

[] Suspension of action of the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of __ months.

The filing fee is calculated below:

	Claims as Amended	Previously Paid For	Extra Claims Present	Rate	Fee Totals
RCE Fee 1.17(e):				\$810.00	= \$810.00
Total Claims:	8	-	20 = 0	x \$52.00	= \$0.00
Independents	1	-	3 = 0	x \$220.00	= \$0.00
First presentation of any Multiple Dependent Claims:			+ \$390.00		= \$0.00
				CLAIMS FEE TOTAL:	= \$810.00

[X] Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

[]	Extension for response filed within the first month:	\$130.00	0	\$0.00
[X]	Extension for response filed within the second month:	\$490.00		\$490.00
[]	Extension for response filed within the third month:	\$1,110.00		\$0.00
[]	Extension for response filed within the fourth month:	\$1,730.00		\$0.00
[]	Extension for response filed within the fifth month:	\$2,350.00		\$0.00
	EXTENSION FEE SUBTOTAL:			\$490.00
	EXTENSION FEE ALREADY PAID:	-		\$0.00
	EXTENSION FEE TOTAL			\$490.00
	CLAIMS AND EXTENSION FEE TOTAL:			\$1300.00
[X]	Small Entity Fees Apply (subtract ½ of above):			\$650.00
[]	Suspension of action requested under 37 C.F.R. § 1.103(c)			\$0.00
	TOTAL FEE:			\$650.00

A credit card payment form in the amount of \$650.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,



Date June 4, 2009

By _____

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William T. Ellis
Attorney for Applicant
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